

AGENCY SUMMARY
NORTHERN ARIZONA UNIVERSITY

Director: Dr. John Haeger, President
Contact: Pat Haeuser, Planning & Inst. Research Phone: (928) 523-7777
NAA.0.0

Mission:

To provide undergraduate programs, significant research, and graduate programs to the doctoral level appropriate to its size and classification. Northern Arizona University aspires to be a premiere undergraduate residential institution that provides its students with an innovative and challenging liberal arts and sciences core integrated with a comprehensive number of professional programs. The learning environment at the Mountain Campus is unmatched for natural beauty and for student-centered programs and services. Undergraduate programming prepares students for life in the twenty-first century by assuring individual development through small classes, close interaction with senior faculty, and sophisticated learning technologies more commonly found at the nation's leading private universities.

Northern Arizona University also provides exceptional quality in a selected number of post-baccalaureate certificates, master's and doctoral programs in its areas of greatest strength. The University seeks to expand its post-baccalaureate programs as interdisciplinary fields expand and as the needs of economy demand increasing levels of educational preparation. Intimately linked to its undergraduate and graduate missions, the university's faculty, organized in departments, research centers and institutes, advances knowledge in traditional disciplines, in fields related to NAU's unique environment on the Colorado plateau, and in response to the needs of the state and region for solutions to real world problems.

Finally, NAU is an integral part of the northern Arizona and Flagstaff communities. It embraces its mission to serve rural Arizona, Native American peoples, and seeks a partnership in providing economic, cultural, and social opportunities for all citizens of the region. Consonant with its mission to serve the state's rural counties, the university has innovative partnerships with rural community colleges, operates an education center in Yuma and IITV sites in twenty-six locations plus technology-based delivery into offices and homes.

Description:

Northern Arizona University has a rich history of service to the state of Arizona, the broad expanse of the Colorado Plateau, and, as recent events can attest, to the nation. It is with this strong tradition that the institution looks to the future in its strategic planning. The vision of Northern Arizona University's future is to become the "best" institution of its type in the country with nationally ranked undergraduate programming, traditional graduate programs in areas of selected excellence, nationally known for post baccalaureate professional education delivered through multiple systems, and research that responds to critical areas of scientific and economic development needs in Arizona, the region, and the nation.

Funding and FTE Summary:

	(Thousands)		
	FY 2002 Actual	FY 2003 Estimate	FY 2004 Estimate
General	140,802,600.	142,880,400.	153,312,500.
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	99,065,300.0	109,497,100.	112,365,200.
Federal	41,984,000.0	43,156,300.0	44,529,400.0
Program total.....	281,851,900.	295,533,800.	310,207,100.
FTE	3,334.6	3,393.1	3,544.6

Northern Arizona University

Strategic Issues

Issue 1 Financial stability

Description: The State of Arizona's FY02 and FY03 budget allocations to Northern Arizona University have had significant negative consequences for the students and staff of the institution. Arizona residents comprise 86% of NAU's student body. The magnitude of reduction in NAU's state budget allocation has meant a decline in student services and student access, even though NAU deliberately protected its instructional core mission as much as possible. It is critical that the State reinvest, for its own future, by funding higher education.

Issue 2 Noncompetitive salaries

Description: NAU's faculty fell to the 5th percentile for all faculty ranks compared to its Board of Regents approved peer institutions. Non-teaching professional and classified staff suffer similar salary deficiencies. Flagstaff, with its high cost of living, exacerbates the problem. Failure to address this situation becomes increasingly serious as the number of employees resigning and retiring increases.

Issue 3 Increase in enrollment and retention

Description: NAU will improve the diversity, quality and number of students graduating through increased attention to recruitment, retention, and market demand for programs and certificates from Arizona's metropolitan areas. Retention efforts will include developing the Gateway Student Success Center, implementing a centralized advising system for new students, and developing a marketing campaign highlighting the distinctive identity of NAU.

Issue 4 Increase academic excellence and the quality of the residential living and learning environment

Description: NAU offers a student-centered undergraduate education distinguished by small classes, a close interaction of professors with students, and a friendly, supportive campus environment. NAU will pursue excellence in its undergraduate programs and campus climate by using student learning outcomes in all programs to assess program effectiveness, increasing student involvement in undergraduate research, assuring full-time faculty members teach freshman and sophomore classes, and providing excellent student services.

Issue 5 Building renewal and capital replacement funding

Description: NAU, like all other state agencies, received no Building Renewal appropriation for FY02 and FY03. The combined request for these two years totaled more than \$13 million. The lack of appropriation over two years compounds the physical facility problems faced by the university. Full funding of the building renewal formula is especially important to NAU, as climatic conditions in Flagstaff create additional challenges in the maintenance of facilities—these include large amounts of snow fall, multiple freeze/thaw cycles, and increased exposure to ultraviolet light. NAU must continue its work in restoring and renovating its buildings on the Mountain Campus, as well as build additional residence halls to attract and retain students.

Issue 6 Invest in the teacher preparation and health profession pipeline; expand the supply of professionals in high demand markets

Description: NAU already educates many professionals for high demand markets such as teacher preparation and allied health professions, but increased or new funding sources are required to expand capacity in these programs. Growing workforce shortages in health care delivery and elementary and secondary education were highlighted as critical concerns of task forces such as Arizona At Risk. Although the problem is being partially addressed by the Technology and Research Initiative Fund (TRIF) to grow the workforce needed by Arizona's schools, hospitals and other health care agencies requires direct,

Issue 7 Increase access to higher education through graduate education, economic development and workforce development programming

Description: To fulfill its mission to provide statewide access to education, NAU intends to employ technology to expand its program reach throughout Arizona, in metropolitan areas such as Phoenix, and in the rural countryside. NAU will offer increased post-baccalaureate professional training, certificates and degrees tailored to the schedules of working adults throughout Arizona and beyond. These efforts will build on an existing mission in which 5000 students take courses off the Mountain Campus and 4000 of these students are in Maricopa County. Increased programming in these areas is expected to provide revenue for reinvestment into new programs to meet changing market demands.

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

PROGRAM SUMMARY
UNDERGRADUATE PROGRAMS

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.1.0 A.R.S. 15-1601

Mission:

To be a premiere undergraduate residential Learning Community.

Description:

Northern Arizona University offers a student-centered undergraduate education distinguished by small classes, a close interaction of professors with students, and a residential learning community clustered under ponderosa pines next to the San Francisco Peaks.

Funding and FTE Summary:

	(Thousands)		
	FY 2002	FY 2003	FY 2004
	Actual	Estimate	Estimate
General	56,124,900.0	57,981,000.0	62,482,600.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	7,347,900.0	8,142,400.0	8,404,400.0
Federal	0.0	0.0	0.0
Program total.....	63,472,800.0	66,123,400.0	70,887,000.0
FTE	988.4	1,005.7	1,050.6

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY
OVERALL UNDERGRADUATE INSTRUCTION

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.1.1 A.R.S. 15-1601

Mission:

To be a premiere undergraduate residential Learning Community.

Description:

Northern Arizona University offers a student-centered undergraduate education distinguished by small classes, a close interaction of professors with students, and a residential learning community clustered under ponderosa pines next to the San Francisco Peaks.

Funding and FTE Summary:

	(Thousands)		
	FY 2002 Actual	FY 2003 Estimate	FY 2004 Estimate
General			
Other Appropriated			0.0
Other Non Appropriated			
Federal	0.0	0.0	0.0
Program total.....			
FTE			

Goal 1 To increase academic excellence in programs.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
		OP Enrollment in the Honors Programs	618	625	620	680	720
		<input type="checkbox"/> IP Percentage of full-time, undergraduate students enrolled per semester in three or more primary courses with ranked faculty.	92	95	93	91	90
		<input checked="" type="checkbox"/> OC Number of Bachelor degrees granted.	2867	2900	2873	2875	2875
		<input checked="" type="checkbox"/> OC Percent of graduating seniors who rate their overall university experience as good or excellent.	96	97	96	95	95
		<input checked="" type="checkbox"/> OC Average number of years taken to graduate for students who began as freshmen	4.7	4.7	4.7	4.7	4.7

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY

UNDERGRADUATE INSTRUCTION - MOUNTAIN CAMPUS

Contact: Pat Haeuser, Director
 Planning & Institutional Research Phone: (928) 523-7777
 NAA.1.2 A.R.S. 15-1601

Mission:

To be a premiere undergraduate residential Learning Community.

Description:

Northern Arizona University offers a student-centered undergraduate education distinguished by small classes, a close interaction of professors with students, and a residential learning community clustered under ponderosa pines next to the San Francisco Peaks.

Funding and FTE Summary:

	(Thousands)		
	FY 2002 Actual	FY 2003 Estimate	FY 2004 Estimate
General	41,793,500.0	43,175,600.0	46,527,700.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	4,829,800.0	5,371,700.0	5,524,200.0
Federal	0.0	0.0	0.0
Program total.....	46,623,300.0	48,547,300.0	52,051,900.0
FTE	732.5	745.4	778.6

Goal 1 To integrate more completely undergraduates into research-related activities including senior thesis, integrated capstone, independent study, and research-related internships.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OP	Percent of undergraduate degree recipients in research-related or capstone experience.	81	75	86	85	90

Goal 2 To provide a rich learning environment with exposure to diverse populations and cultures for all students.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	IP	Percent of students enrolling in study abroad programs	1.4	1.6	1.6	1.7	1.75
	IP	Increase the number of historically underrepresented ethnic students.	5,542	5,110	5,769	5,700	5,700
	IP	Increase the number of International students.	282	375	349	400	450

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY

UNDERGRADUATE INSTRUCTION - STATEWIDE ACADEMIC PROGRAMS

Contact: Pat Haeuser, Director
 Planning & Institutional Research Phone: (928) 523-7777
 NAA.1.3 A.R.S. 15-1601

Mission:

To Provide Leadership in the Development, Use, and Assessment of Technologies in Educational Programs.

Description:

"Taking the campus to the students" has been a hallmark of educational opportunity at NAU. University faculty are committed to delivery systems that combine asynchronous technology with personal interaction in seminars, discussion groups, residency on the Mountain Campus, video-conferencing, on-line discussion groups, and interactive problem solving.

Funding and FTE Summary:

	(Thousands)		
	FY 2002	FY 2003	FY 2004
	Actual	Estimate	Estimate
General	6,186,800.0	6,391,400.0	6,887,700.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	1,939,900.0	2,127,600.0	2,218,800.0
Federal	0.0	0.0	0.0
Program total.....	8,126,700.0	8,519,000.0	9,106,500.0
FTE	114.4	116.4	121.6

Goal 1 To provide a rich learning environment with exposure to diverse populations and cultures for all students .

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	IP	Percent of undergraduate ethnic minority student enrollment in undergraduate programs	31	32	39	40	41

Goal 2 To provide access to higher education learning experiences for qualified Arizona residents.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	IP	Arizona residents enrolled in undergraduate programs (unique students).	2,466	2,515	2,274	2,300	2,350
<input checked="" type="checkbox"/>	OC	Bachelor degrees granted to statewide students.	267	400	304	304	304

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY
 UNDERGRADUATE ACADEMIC ADVISING

Contact: Pat Haeuser, Director
 Planning & Institutional Research Phone: (928) 523-7777
 NAA.1.4 A.R.S. 15-1601

Mission:

To be a premier undergraduate residential Learning Community.

Description:

Northern Arizona University offers a student-centered undergraduate education distinguished by small classes, a close interaction of professors with students, and a residential learning community clustered under ponderosa pines next to the San Francisco Peaks.

Funding and FTE Summary:

	(Thousands)		
	FY 2002	FY 2003	FY 2004
	Actual	Estimate	Estimate
General	8,144,600.0	8,414,000.0	9,067,200.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	578,200.0	643,100.0	661,400.0
Federal	0.0	0.0	0.0
Program total.....	8,722,800.0	9,057,100.0	9,728,600.0
FTE	141.5	143.9	150.4

Goal 1 To provide quality advising to students for their academic needs.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OC	Percent of alumni satisfied with academic advising	86	90	87	85	85
	OC	Percentage of graduating seniors very satisfied or satisfied with academic advising in major.	74	77	69	70	75

Goal 2 To improve retention and timely graduation rates.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OC	Percent of student persistence (first-time, full-time Freshmen)	66	75	67	68	70
	OC	Percent of students graduating (6-year for first-time, full-time freshmen)	42	42	45	45	45

Goal 3 To provide quality advising for students for their career needs.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OC	Percent of alumni satisfied with advising to meet career goals.	80	75	83	80	80
	OC	Graduating senior satisfaction with advising to meet career goals.	75	65	69	70	70

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

PROGRAM SUMMARY
GRADUATE PROGRAMS

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.2.0 A.R.S. 15-1601

Mission:

To Strengthen Graduate Education, Economic Development, and Research to Meet the Needs of Arizona, the Southwest, and the Nation.

Description:

The university recognizes that teaching and research are mutually supportive activities and it has many active research centers that help bridge the gap between disciplines and organizations, and between academic education and applied problem solving.

Funding and FTE Summary:

	(Thousands)		
	FY 2002	FY 2003	FY 2004
	Actual	Estimate	Estimate
General	17,946,800.0	18,540,300.0	19,979,800.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	5,521,700.0	6,141,300.0	6,315,600.0
Federal	0.0	0.0	0.0
Program total.....	23,468,500.0	24,681,600.0	26,295,400.0
FTE	337.2	343.1	358.4

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY
OVERALL GRADUATE INSTRUCTION

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.2.1 A.R.S. 15-1601

Mission:

To Strengthen Graduate Education, Economic Development, and Research to Meet the Needs of Arizona, the Southwest, and the Nation.

Description:

The university recognizes that teaching and research are mutually supportive activities and it has many active research centers that help bridge the gap between disciplines and organizations, and between academic education and applied problem solving.

Funding and FTE Summary:

	FY 2002 Actual	(Thousands) FY 2003 Estimate	FY 2004 Estimate
General			
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated			
Federal	0.0	0.0	0.0
Program total.....			
FTE			

Goal 1 To build an Infrastructure for graduate education.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
		OP Graduate degrees awarded at the Master's level	1,905	1,900	1,924	1,930	1,950
		OP Graduate degrees awarded at the Doctoral level.	42	55	38	40	40
		OP Graduate degrees awarded-first professional category	NA	NA	77	42	42

This is a new program, a Doctor of Physical Therapy.

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY
GRADUATE INSTRUCTION - MOUNTAIN CAMPUS

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.2.2 A.R.S. 15-1601

Mission:

To Strengthen Graduate Education, Economic Development, and Research to Meet the Needs of Arizona, the Southwest, and the Nation.

Description:

The university recognizes that teaching and research are mutually supportive activities and it has many active research centers that help bridge the gap between disciplines and organizations, and between academic education and applied problem solving.

Funding and FTE Summary:

	(Thousands)		
	FY 2002 Actual	FY 2003 Estimate	FY 2004 Estimate
General	7,307,800.0	7,549,500.0	8,135,600.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	639,600.0	711,400.0	731,600.0
Federal	0.0	0.0	0.0
Program total.....	7,947,400.0	8,260,900.0	8,867,200.0
FTE	131.1	133.4	139.4

Goal 1 To offer programs which meet needs for graduate level instruction, such as teacher education or advanced professional training.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	IP	Graduate student enrollments in Master's level programs	1,180	1,180	1,105	1,125	1,125
	IP	Graduate student enrollments in Doctoral level programs	310	315	447	450	450

Goal 2 To improve educational opportunities and financial assistance opportunities for graduate students from underrepresented ethnic minority groups.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	IP	Percent of graduate ethnic minority student enrollment in master's programs	20	20	24	22	22
	IP	Percent of graduate ethnic minority student enrollment in doctoral programs	15	15	20	18	18

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY

GRADUATE INSTRUCTION - STATEWIDE ACADEMIC PROGRAMS

Contact: Pat Haeuser, Director
 Planning & Institutional Research Phone: (928) 523-7777
 NAA.2.3 A.R.S. 15-1601

Mission:

To Strengthen Graduate Education, Economic Development, and Research to Meet the Needs of Arizona, the Southwest, and the Nation.

Description:

The university recognizes that teaching and research are mutually supportive activities and it has many active research centers that help bridge the gap between disciplines and organizations, and between academic education and applied problem solving.

Funding and FTE Summary:

	(Thousands)		
	FY 2002 Actual	FY 2003 Estimate	FY 2004 Estimate
General	8,042,900.0	8,308,900.0	8,954,000.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	4,757,000.0	5,290,800.0	5,440,900.0
Federal	0.0	0.0	0.0
Program total.....	12,799,900.0	13,599,700.0	14,394,900.0
FTE	161.1	163.9	171.2

Goal 1 To offer graduate programs which meet needs for graduate level instruction, such as teacher education or advanced professional training.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	IP	Graduate student enrollments in Master's level programs	15,389	15,697	16,827	17,000	17,500
	IP	Graduate student enrollments in Doctoral level programs	355	362	249	249	249
	<input checked="" type="checkbox"/>	OC Graduate degrees granted.	1,225	1,290	1,215	1,225	1,225

Goal 2 To improve educational opportunities and financial assistance opportunities for graduate students from underrepresented ethnic minority groups.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	IP	Percent of graduate ethnic minority student enrollment in master's programs	24	25	25	27	27
	IP	Percent of graduate ethnic minority student enrollment in doctoral programs	26	27	15	20	20

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY
GRADUATE ACADEMIC ADVISING

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.2.4 A.R.S. 15-1601

Mission:

To Strengthen Graduate Education, Economic Development, and Research to Meet the Needs of Arizona, the Southwest, and the Nation.

Description:

The university recognizes that teaching and research are mutually supportive activities and it has many active research centers that help bridge the gap between disciplines and organizations, and between academic education and applied problem solving.

Funding and FTE Summary:

	(Thousands)		
	FY 2002	FY 2003	FY 2004
	Actual	Estimate	Estimate
General	2,596,100.0	2,681,900.0	2,890,200.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	125,100.0	139,100.0	143,100.0
Federal	0.0	0.0	0.0
Program total.....	2,721,200.0	2,821,000.0	3,033,300.0
FTE	45.0	45.8	47.8

Goal 1 To provide appropriate advising to graduate students for their academic and career needs.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
		OC	73	73	72	75	75
		Percent of Master's students completing degree in three years.					

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

PROGRAM SUMMARY
STUDENT SUPPORT

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.3.0 A.R.S. 15-1601

Mission:

To be a premiere undergraduate residential Learning Community.

Description:

Northern Arizona University offers a student-centered undergraduate education distinguished by small classes, a close interaction of professors with students, and a residential learning community clustered under ponderosa pines next to the San Francisco Peaks.

Funding and FTE Summary:

	(Thousands)		
	FY 2002	FY 2003	FY 2004
	Actual	Estimate	Estimate
General	10,245,700.0	9,574,500.0	10,161,800.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	40,436,200.0	44,319,000.0	45,305,800.0
Federal	11,264,500.0	11,289,600.0	11,318,000.0
Program total.....	61,946,400.0	65,183,100.0	66,785,600.0
FTE	448.7	456.5	476.9

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY
OVERALL STUDENT SUPPORT

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.3.1 A.R.S. 15-1601

Mission:

To be a premiere undergraduate residential Learning Community.

Description:

Northern Arizona University offers a student-centered undergraduate education distinguished by small classes, a close interaction of professors with students, and a residential learning community clustered under ponderosa pines next to the San Francisco Peaks.

Funding and FTE Summary:

	(Thousands)		
	FY 2002 Actual	FY 2003 Estimate	FY 2004 Estimate
General	0.0	0.0	0.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	0.0	0.0	0.0
Federal	0.0	0.0	0.0
Program total.....	0.0	0.0	0.0
FTE	0.0	0.0	0.0

Goal 1 To meet admissions and registration processing demands.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	IP	Undergraduate students admitted	12,802	12,802	12,794	12,794	12,794
	IP	Graduate students admitted	4,520	4,525	4,750	4,750	4,750

Goal 2 To assist students in the transitions associated with the university experience by providing orientation activities.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	IP	Preview participants Freshmen students	2,334	2,350	2,235	2,375	2,400
	IP	Preview participants Transfer students	657	650	489	500	550
	IP	Offsite preview participants	164	200	80	100	100

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY

LEARNING SUPPORT FOR STUDENTS - MOUNTAIN CAMPUS

Contact: Pat Haeuser, Director
 Planning & Institutional Research Phone: (928) 523-7777
 NAA.3.2 A.R.S. 15-1601

Mission:

To be a premiere undergraduate residential Learning Community.

Description:

Northern Arizona University offers a student-centered undergraduate education distinguished by small classes, a close interaction of professors with students, and a residential learning community clustered under ponderosa pines next to the San Francisco Peaks.

Funding and FTE Summary:

	(Thousands)		
	FY 2002	FY 2003	FY 2004
	Actual	Estimate	Estimate
General	6,100,400.0	6,302,100.0	6,791,400.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	37,547,400.0	41,345,900.0	42,300,800.0
Federal	8,110,500.0	8,128,500.0	8,149,000.0
Program total.....	51,758,300.0	55,776,500.0	57,241,200.0
FTE	353.0	359.2	375.2

Goal 1 To provide a premier residential living experience for students choosing to live on campus.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OP	Percent of Residence Life yearly average occupancy	89	89	89	92	92
	EF	Ratio of computer stations per resident in halls	1/32	1/32	1/31	1/31	1/31

Goal 2 To assist students in cultural, physical, and social development/wellness by providing services, activities, and events.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OP	Total use/participation: Recreation Center visits	253,724	255,000	242,534	245,000	250,000
	OP	Students use/participation: Fronkse Health Center visits	49,096	72,000	47,249	48,000	49,000
	OP	Students use/participation: Wall Aquatic Center	35,673	35,000	39,915	40,000	40,700
	OP	Students use/participation: Counseling Center visits	1,047	1,000	1,066	1,000	1,000
	OP	Students use/participation: Outdoor recreation	3,744	4,050	3,825	4,050	4,050
	OP	Students use/participation: Intramural participants	10,938	10,950	10,695	11,000	11,050
	OP	Multicultural Programs	137	150	147	150	150
	OP	Students use/participation: Multicultural participants	4,500	4,500	4,750	4,500	4,500

Goal 3 To support student learning by providing financial support services to qualified students within the specific limitations of the financial aid system.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OP	Financial aid applications processed	19,807	19,850	20,899	20,950	22,000
	OP	Students receiving financial aid by categories: Scholarships	4,377	4,400	4,457	4,475	4,480
	OP	Students receiving financial aid by categories: Waivers	3,369	3,350	3,398	3,400	3,415

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

OP	Students receiving financial aid by categories: Grants	4,281	4,270	4,038	4,050	4,075
OP	Students receiving financial aid by categories: Employment	4,722	4,700	4,453	4,745	4,500
OP	Students receiving financial aid by categories:	7,084	7,000	6,822	6,850	6,900
OP	Students employed in departments	4,630	4,650	4,375	4,390	4,395

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY

LEARNING SUPPORT FOR STUDENTS - STATEWIDE PROGRAMS

Contact: Pat Haeuser, Director
 Planning & Institutional Research Phone: (928) 523-7777
 NAA.3.3 A.R.S. 15-1601

Mission:

To be a premiere undergraduate residential Learning Community.

Description:

Northern Arizona University offers a student-centered undergraduate education distinguished by small classes, a close interaction of professors with students, and a residential learning community clustered under ponderosa pines next to the San Francisco Peaks.

Funding and FTE Summary:

	(Thousands)		
	FY 2002 Actual	FY 2003 Estimate	FY 2004 Estimate
General	1,221,000.0	1,261,300.0	1,359,300.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	1,231,900.0	1,356,700.0	1,387,900.0
Federal	3,154,000.0	3,161,100.0	3,169,000.0
Program total.....	5,606,900.0	5,779,100.0	5,916,200.0
FTE	36.1	36.7	38.4

Goal 1 To support student learning by providing financial support services to qualified students within the specific limitations of the financial aid system.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OP	Students receiving financial aid by categories: Scholarships	762	780	742	750	755
	OP	Students receiving financial aid by categories: Waivers	251	251	279	285	290
	OP	Students receiving financial aid by categories: Grants	581	581	551	555	560
	OP	Students receiving financial aid by categories: Employment	52	52	49	49	50
	OP	Students receiving financial aid by categories:	1,094	1,050	1,313	1,325	1,330

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY

MINORITY RECRUITMENT, RETENTION, AND GRADUATION

Contact: Pat Haeuser, Director
 Planning & Institutional Research Phone: (928) 523-7777
 NAA.3.4 A.R.S. 15-1601

Mission:

To foster a Culture of Diversity.

Description:

Exposure to diversity of ideas and to new ways of thinking is part of the core of the university experience. But the world of ideas is far from the only way in which diversity manifests itself in the society in which we live. Being an educated citizen today means exposure not only to a diversity of students and faculty in terms of age and gender but also to a diversity of cultures, lifestyles, and values.

Funding and FTE Summary:

	(Thousands)		
	FY 2002	FY 2003	FY 2004
	Actual	Estimate	Estimate
General	653,000.0	532,800.0	532,800.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	27,300.0	30,000.0	30,700.0
Federal	0.0	0.0	0.0
Program total.....	680,300.0	562,800.0	563,500.0
FTE	11.6	11.8	12.3

Goal 1 To increase the enrollment rates of Native American students.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	IP	Increase the number of Native American students.	1,355	1,900	1,343	1,375	1,400

Goal 2 To increase the persistence and graduation rates of underrepresented and ethnic minority groups.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OC	Percent of undergraduate degrees awarded to ethnic minority students	19	21	19	21	21
	OC	Increase the one-year retention rates of full-time ethnic minority students.	69	70	60	72	75
	OC	Increase the six-year graduation rates of full-time ethnic minority students.	27	36	31	38	40

Goal 3 To increase enrollment in the Applied Indigenous Studies courses and supporting courses.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OP	Increase course enrollment in the Applied Indigenous Program and supporting courses.	594	700	560	600	600

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY
ATHLETICS

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.3.5 A.R.S. 15-1601

Mission:

To provide strong intercollegiate athletic programs which enhance the development and graduation of the student-athlete as well as attract community and alumni support for the university.

Description:

NAU's athletic program participates in Division I of the National Collegiate Athletic Association (NCAA) and is a member of the Big Sky Conference. NAU's intercollegiate athletic program consists of seven men's and eight women's sports (Men's Football, Men's and Women's Basketball, Men's and Women's Indoor Track and Field, Men's and Women's Outdoor Track and Field, Men's and Women's Cross Country, Women's Swimming and Diving, Men's and Women's Tennis, Women's Volleyball and Women's Golf). In addition the areas of financial aid, educational support services, athletic training and athletic development support the overall mission of the Athletics Department.

Funding and FTE Summary:

	(Thousands)		
	FY 2002 Actual	FY 2003 Estimate	FY 2004 Estimate
General	2,271,300.0	1,478,300.0	1,478,300.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	1,629,600.0	1,586,400.0	1,586,400.0
Federal	0.0	0.0	0.0
Program total.....	3,900,900.0	3,064,700.0	3,064,700.0
FTE	48.0	48.8	51.0

Goal 1 To ensure the athletic programs are committed to academic success of the student-athletes.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OC	Percent of graduation rates for student athletes	52	53	45	50	50
	OC	Average GPA for male student athletes	2.86	2.85	2.68	2.75	2.75
	OC	Average GPA for female student athletes	3.17	3.0	3.09	3.0	3.0

Goal 2 To provide intercollegiate opportunities consistent with proportions in the general student body.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OP	Student athletes	384	384	360	380	380
	OP	Sports	15	15	15	15	15
	OP	Women's teams	9	9	9	9	9
	OP	Men's teams	6	6	6	6	6
	OP	Female percentage of student athletes/ female percentage of student populations	48/57	48/57	48/57	48/57	48/57
	OP	Male percentage of student athletes/ male percentage of student populations	52/43	52/43	52/43	52/43	52/43

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

PROGRAM SUMMARY
ACADEMIC SUPPORT SERVICES

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.4.0 A.R.S. 15-1601

Mission:

To Be a Premier Undergraduate Residential Learning Community

Description:

Northern Arizona University offers a student-centered undergraduate education distinguished by small classes, a close interaction of professors with students, and a residential learning community clustered under ponderosa pines next to the San Francisco Peaks.

Funding and FTE Summary:

	(Thousands)		
	FY 2002	FY 2003	FY 2004
	Actual	Estimate	Estimate
General	15,070,600.0	14,224,600.0	14,876,200.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	4,740,400.0	5,272,300.0	5,422,100.0
Federal	0.0	0.0	0.0
Program total.....	19,811,000.0	19,496,900.0	20,298,300.0
FTE	255.3	259.8	271.4

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY
LIBRARY

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.4.1 A.R.S. 15-1601

Mission:

To be a premiere undergraduate residential Learning Community.

Description:

Northern Arizona University offers a student-centered undergraduate education distinguished by small classes, a close interaction of professors with students, and a residential learning community clustered under ponderosa pines next to the San Francisco Peaks.

Funding and FTE Summary:

	(Thousands)		
	FY 2002 Actual	FY 2003 Estimate	FY 2004 Estimate
General	6,945,300.0	5,830,500.0	5,830,500.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	97,500.0	108,400.0	111,500.0
Federal	0.0	0.0	0.0
Program total.....	7,042,800.0	5,938,900.0	5,942,000.0
FTE	100.6	102.4	106.9

Goal 1 To develop, improve and manage Library collections which best serve the academic mission of NAU.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	IP	Volumes purchased/ replaced	32,176	33,000	23,700	18,000	18,000
	IP	Periodical subscriptions	6,816	6,800	6,806	6,120	5,800

Goal 2 To improve access to library information, materials, and instruction.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OP	Periodical titles available electronically to end user	14,255	16,000	16,682	16,900	17,100
	OP	Electronic accesses to the on-line catalog	264,625	270,000	241,995	230,000	230,000
	OP	Percent user satisfaction (obtained by survey)	85	85	85	85	85

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY
ACADEMIC COMPUTING

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.4.2 A.R.S. 15-1601

Mission:

To Provide Leadership in the Development, Use, and Assessment of Technologies in Educational Programs

Description:

"Taking the campus to the students" has been a hallmark of educational opportunity at NAU. University faculty are committed to delivery systems that combine asynchronous technology with personal interaction in seminars, discussion groups, residency on the Mountain Campus, video-conferencing, on-line discussion groups, and interactive problem solving.

Funding and FTE Summary:

	(Thousands)		
	FY 2002	FY 2003	FY 2004
	Actual	Estimate	Estimate
General	2,723,800.0	2,813,900.0	3,032,300.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	0.0	0.0	0.0
Federal	0.0	0.0	0.0
Program total.....	2,723,800.0	2,813,900.0	3,032,300.0
FTE	46.7	47.5	49.6

Goal 1 To develop, improve, and manage access to central computing resources for the NAU academic community.

Performance Measures:

Pub	JLBC	Type	FY2001	FY2002	FY 2002	FY2003	FY 2004
			Actual	Expected	Actual	Expected	Expected
		OP Network connections Staff	9,112	9,364	11,500	12,500	13,000
		OP Network connections Students, labs	5,100	5,200	6,673	7,000	7,000
		OP Percent of student body with e-mail accounts	87	88	89	95	98

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY
STATEWIDE ACADEMIC PROGRAMS

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.4.3 A.R.S. 15-1601

Mission:

To provide Leadership in the Development, Use, and Assessment of Technologies in Educational Programs.

Description:

"Taking the campus to the students" has been a hallmark of educational opportunity at NAU. University faculty are committed to delivery systems that combine asynchronous technology with personal interaction in seminars, discussion groups, residency on the Mountain Campus, video-conferencing, on-line discussion groups, and interactive problem solving.

Funding and FTE Summary:

	(Thousands)		
	FY 2002	FY 2003	FY 2004
	Actual	Estimate	Estimate
General	4,557,400.0	4,708,200.0	5,073,700.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	1,311,900.0	1,459,100.0	1,500,600.0
Federal	0.0	0.0	0.0
Program total.....	5,869,300.0	6,167,300.0	6,574,300.0
FTE	85.3	86.8	90.7

Goal 1 To improve statewide access to network services, general computing resources, and instructional technology for NAU faculty and students.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
		OP Remote teaching sites with network access	22	25	29	29	29
		OP IITV sites Statewide Connection Affiliates	4	4	4	4	4
		OP IITV sites Statewide Classrooms	36	36	36	33	33

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY
INSTRUCTIONAL SUPPORT

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.4.4 A.R.S. 15-1601

Mission:

To develop/provide innovative materials/practices that bring current knowledge into the instructional context.

Description:

Instructional innovation and improvement is promoted with support for faculty efforts by reduced load, grants, sabbatical and training programs.

Funding and FTE Summary:

	(Thousands)		
	FY 2002 Actual	FY 2003 Estimate	FY 2004 Estimate
General	844,100.0	872,000.0	939,700.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	3,331,000.0	3,704,800.0	3,810,000.0
Federal	0.0	0.0	0.0
Program total.....	4,175,100.0	4,576,800.0	4,749,700.0
FTE	22.7	23.1	24.1

Goal 1 To ensure faculty expertise and institutional support for technology.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	IP	Grants awarded for instruction.	52	52	48	52	52
	IP	Value of grants awarded for instruction.	7,609,161	7,609,161	7,900,000	7,900,000	7,900,000

Goal 2 To provide opportunities for faculty growth and professional development.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OP	Number of semester sabbaticals	27	22	23	23	23
	OP	Number of full year sabbaticals (fiscal)	17	19	37	37	37
	OP	Financial support for semester sabbaticals (in thousands of dollars)	783.8	650.0	688.6	663.0	663.0
	OP	Financial support for full year sabbaticals (fiscal) (in thousands of dollars)	561.1	737.9	1229.3	752.7	752.7

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

PROGRAM SUMMARY
RESEARCH AND PUBLIC SERVICE

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.5.0 A.R.S. 15-1601

Mission:

To Strengthen Graduate Education, Economic Development, and Research to Meet the Needs of Arizona, the Southwest, and the Nation.

Description:

The university recognizes that teaching and research are mutually supportive activities and it has many active research centers that help bridge the gap between disciplines and organizations, and between academic education and applied problem solving.

Funding and FTE Summary:

	(Thousands)		
	FY 2002	FY 2003	FY 2004
	Actual	Estimate	Estimate
General	4,365,700.0	4,510,100.0	4,860,200.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	8,105,500.0	9,015,100.0	9,271,000.0
Federal	30,719,500.0	31,866,700.0	33,211,400.0
Program total.....	43,190,700.0	45,391,900.0	47,342,600.0
FTE	460.9	469.0	489.9

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY
RESEARCH

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.5.1 A.R.S. 15-1601

Mission:

To Strengthen Graduate Education, Economic Development, and Research to Meet the Needs of Arizona, the Southwest, and the Nation.

Description:

The university recognizes that teaching and research are mutually supportive activities and it has many active research centers that help bridge the gap between disciplines and organizations, and between academic education and applied problem solving.

Funding and FTE Summary:

	(Thousands)		
	FY 2002 Actual	FY 2003 Estimate	FY 2004 Estimate
General	1,581,200.0	1,633,500.0	1,760,300.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	727,700.0	809,400.0	832,300.0
Federal	30,719,500.0	31,866,700.0	33,211,400.0
Program total.....	33,028,400.0	34,309,600.0	35,804,000.0
FTE	355.1	361.4	377.5

Goal 1 To increase the amount of external support for research.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
		IP	NA	7,600,000	7,933,000	7,800,000	8,000,000
		IP	36 mil	47 mil	49.3 mil	50 mil	51 mil

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY
PUBLIC SERVICE

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.5.2 A.R.S. 15-1601

Mission:

To use university resources and talents to provide cultural and community outreach programs. Unique initiatives focus on service to Native American populations, rural Arizona, and the Flagstaff community.

Description:

Northern Arizona University's location on the Colorado Plateau ties with the institution to the history and culture of the region. More than twenty tribal entities, among them the nation's largest, the Navajo Nation, exist within Arizona's boundaries and give the university a special mission for education, research, and service for Native Americans.

Funding and FTE Summary:

	(Thousands)		
	FY 2002 Actual	FY 2003 Estimate	FY 2004 Estimate
General	2,509,000.0	2,592,000.0	2,793,200.0
Other Appropriated			
Other Non Appropriated	7,374,800.0	8,202,400.0	8,435,300.0
Federal	0.0	0.0	0.0
Program total.....			
FTE	101.1	102.9	107.4

Goal 1 To be a partner in the cultural climate of Arizona and the Southwest region.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
		OP	400	410	410	400	400

Goal 2 To enhance the quality of life in Arizona and the Southwest region.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
		OP	9,131	10,000	12,058	10,000	10,000
		OP	1,182	1,182	1,241	1,361	1,429
		OP	2,874	3,000	3,931	4,500	5,000

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY
ECONOMIC DEVELOPMENT

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.5.3 A.R.S. 15-1601

Mission:

To Strengthen Graduate Education, Economic Development, and Research to Meet the Needs of Arizona, the Southwest, and the Nation.

Description:

The university recognizes that teaching and research are mutually supportive activities and it has many active research centers that help bridge the gap between disciplines and organizations, and between academic education and applied problem solving.

Funding and FTE Summary:

	(Thousands)		
	FY 2002	FY 2003	FY 2004
	Actual	Estimate	Estimate
General	275,500.0	284,600.0	306,700.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	3,000.0	3,300.0	3,400.0
Federal	0.0	0.0	0.0
Program total.....	278,500.0	287,900.0	310,100.0
FTE	4.7	4.8	5.0

Goal 1 To increase the number of economic development initiatives with communities and organizations.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OP	New Strategic Partnerships	NA	NA	33	35	40

Goal 2 To increase the workforce development pipeline.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OP	Number of students added to workforce pipeline	NA	NA	677	700	750
<input checked="" type="checkbox"/>	OP	Total degrees granted	4,781	4,800	4,912	4,887	4,907

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

PROGRAM SUMMARY
 INSTITUTIONAL ADVANCEMENT

Contact: Pat Haeuser, Director
 Planning & Institutional Research Phone: (928) 523-7777
 NAA.6.0 A.R.S. 15-1601

Mission:

To promote advancement and development activities in order to enhance and encourage growing support for NAU's academic mission.

Description:

Institutional advancement is furthered by contact with alumni and other interested parties to promote private giving, whether in terms of funds, services, facilities, and other support. This support, whether through financial contributions or energetic involvement, will include alumni, faculty and staff, and friends around the state and country.

Funding and FTE Summary:

	(Thousands)		
	FY 2002	FY 2003	FY 2004
	Actual	Estimate	Estimate
General	868,500.0	673,000.0	673,000.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	2,234,400.0	2,485,100.0	2,555,700.0
Federal	0.0	0.0	0.0
Program total.....	3,102,900.0	3,158,100.0	3,228,700.0
FTE	39.4	40.1	41.9

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY
DEVELOPMENTAL ACTIVITIES

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.6.1 A.R.S. 15-1601

Mission:

To engage in new activities and programs leading to financial stability.

Description:

Private support is encouraged through campaigns, communications, meetings, and other activities explaining the needs and programs of NAU.

Funding and FTE Summary:

	(Thousands)		
	FY 2002 Actual	FY 2003 Estimate	FY 2004 Estimate
General	666,200.0	481,800.0	481,800.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	1,741,100.0	1,936,500.0	1,991,500.0
Federal	0.0	0.0	0.0
Program total.....	2,407,300.0	2,418,300.0	2,473,300.0
FTE	32.2	32.8	34.2

Goal 1 To increase the number of donors to NAU.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	IP	Alumni donors	6,739	6,750	4,537	6,800	7,000
	IP	Faculty and staff donors	833	850	708	900	950
	IP	Parent donors	1,869	1,900	1,862	1,950	2,000
	IP	Other organizations donating to NAU	4,468	4,500	4,516	4,800	5,000

Goal 2 To increase NAU s financial resources through private giving.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	IP	Contributions by individuals (in thousands)	8,167	8,500	2,447	9,000	10,000
	IP	Contributions organizations (in thousands)	15,132	15,500	14,899	16,000	17,000
	IP	Total giving (in thousands)	23,300	24,000	17,346	25,000	27,000

Goal 3 To decrease the cost per dollar raised.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	EF	Operations and salaries expense for each dollar raised	0.069	0.069	0.069	0.069	0.069

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY
ALUMNI RELATIONS

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.6.2 A.R.S. 15-1601

Mission:

To further the institutional relations and advancement mission of NAU by cultivating the support of former students primarily in the areas of advocacy, recruiting, and financial support. This is accomplished by providing programs which both enlist and nurture the support of former

Description:

Services and activities include hosting alumni chapter meetings throughout Arizona and neighboring states; publication of the quarterly newsletter to all alumni; legislative networking activities; annual fund and telemarketing fund-raising to alumni; special events and reunions; maintenance of the alumni database; domestic and international travel tours; provision of scholarships; alumni awards; affinity credit card and line-of-credit programs; sponsorship of student alumni ambassadors; and servicing alumni requests and concerns. The separately incorporated Alumni Association is governed by a 21-member board of directors, all former students of NAU. This group meets quarterly and serves in both an advisory and policy-setting role for the Association.

Funding and FTE Summary:

	(Thousands)		
	FY 2002 Actual	FY 2003 Estimate	FY 2004 Estimate
General	202,300.0	191,200.0	191,200.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	493,300.0	548,600.0	564,200.0
Federal	0.0	0.0	0.0
Program total.....	695,600.0	739,800.0	755,400.0
FTE	7.3	7.4	7.7

Goal 1 To strengthen fund raising efforts directed at university alumni.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	IP	Contributions from direct mailing contacts to alumni (in thousands)	NA	100	38	40	45
	IP	Contributions from telemarketing contacts to alumni (in thousands)	279	280	219	225	230
	IP	Matching gifts from alumni (in thousands)	62.4	65	42.5	45	50

Goal 2 To coordinate and host alumni chapter gatherings in areas where sufficient numbers of alumni and interest exists.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OP	Chapters	26	27	6	27	27
	OP	Alumni attending meetings	1,300	1,350	2,000	1,400	1,450

Goal 3 To increase the number of addressable alumni in the database relative to the total number of former students.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	IP	Percent of alumni with known addresses	81	82	86	82	82

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

PROGRAM SUMMARY
INSTITUTIONAL OPERATIONS

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.7.0 A.R.S. 15-1601

Mission:

To provide an educational environment to support the learning, research, service, and other opportunities for the students and citizens of Arizona. To provide leadership, planning, and management to guide the institution both in its day-to-day operations and in establishing future directions.

Description:

Departments in this program provide campus-wide support for the efficient functioning of all other programs in the achievement of their goals and objectives relating to teaching, research, and service. Departments include the administrative offices of the President, offices of the Provost, offices of the Vice Presidents, as well as the departments responsible for fiscal and human resource management, facilities maintenance and

Funding and FTE Summary:

	(Thousands)		
	FY 2002	FY 2003	FY 2004
	Actual	Estimate	Estimate
General	36,180,400.0	37,376,900.0	40,278,900.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	30,679,200.0	34,121,900.0	35,090,600.0
Federal	0.0	0.0	0.0
Program total.....	66,859,600.0	71,498,800.0	75,369,500.0
FTE	804.8	818.9	855.5

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY
 FACILITIES DEVELOPMENT AND MANAGEMENT

Contact: Pat Haeuser, Director
 Planning & Institutional Research Phone: (928) 523-7777
 NAA.7.1 A.R.S. 15-1601

Mission:

To provide effective and efficient management and operation of NAU facilities, as well as appropriate facilities planning to support NAU's academic and programmatic directions.

Description:

Departments in this subprogram provide maintenance for campus facilities and general improvement in the aesthetic quality of the educational and working environments of the campus, and develop techniques to improve energy conservation and rehabilitative building, grounds and utility

Funding and FTE Summary:

	(Thousands)		
	FY 2002 Actual	FY 2003 Estimate	FY 2004 Estimate
General	18,829,300.0	19,452,000.0	20,962,300.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	14,603,600.0	16,242,300.0	16,703,400.0
Federal	0.0	0.0	0.0
Program total.....	33,432,900.0	35,694,300.0	37,665,700.0
FTE	440.3	448.1	468.1

Goal 1 To optimize the use of energy and natural resources.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	EF	Tons recycled	800	800	1,100	1,000	1,000

Goal 2 To improve the physical living, working, and learning environment.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OP	Improvement and building renovations project expenditures (in thousands)	6,400	10,000	23,606	15,000	34,066

Goal 3 To offer cost-effective central services supporting university activities.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OP	Post Office services provided (pieces processed), mail facility.	10.25 mil	10 mil	10 mil	11 mil	11 mil

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY
RESOURCE MANAGEMENT

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.7.2 A.R.S. 15-1601

Mission:

To provide financial management, human resource services, safety and security, and centralized information and communication support to the NAU community.

Description:

Departments in this subprogram provide the President and the administration with information which will enable them to make the best decisions for NAU. They include - the Comptroller's Office, procurement, planning, budgeting, internal audit, human resources and affirmative action areas.

Funding and FTE Summary:

	(Thousands)		
	FY 2002 Actual	FY 2003 Estimate	FY 2004 Estimate
General	14,797,500.0	15,286,900.0	16,473,700.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	16,005,500.0	17,801,600.0	18,307,000.0
Federal	0.0	0.0	0.0
Program total.....	30,803,000.0	33,088,500.0	34,780,700.0
FTE	320.7	326.3	340.9

Goal 1 To enhance the safety and the learning/working environment.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OP	NAU numbers of: Affirmative Action formal and informal complaints, consultations and ADA issues contacts	1,635	1,700	2,120	2,150	2,150
	OP	NAU numbers of: Total visitors assisted by University Ombuds Office.	626	650	696	500	525
	OP	People served by the Office of Employee Assistance and Wellness	5,436	5,500	5,432	5,350	4,310
	OP	Programs offered by the Office of Employee Assistance and Wellness	103	105	102	96	96
	OP	Employees and students attending SAFE working and learning environment policy orientations.	2,200	2,000	2,100	2,100	2,100
	OP	Presentations given by the NAU police	123	130	183	145	145
	OP	People served by Ombuds Office training programs and facilitators.	NA	125	542	150	175

Goal 2 To increase the use and access to technology to improve efficiency and enhance administrative operations and communications.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	OP	Percent of staff with electronic mail accounts	83	83	84	85	86

Goal 3 To optimize use of financial resources through sound financial management practices.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
	EF	Financial ratios: State Appropriations/ Total Educational and General Expenditures (in percent)	49.38	49.78	44.85	48.19	47.47
	EF	Financial ratios: Tuition and Fees/ Total Educational and General Expenditures (in percent)	28.39	29.38	27.70	28.67	28.25

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

EF	Financial ratios: Total Debt Service/ Unrestricted Current Fund Expenditures and Mandatory Transfers (in percent)	5.26	5.52	4.83	5.20	5.10
EF	Financial ratios: Available Assets/ General Liabilities (in percent)	4.51	4.18	4.83	4.51	4.62
OP	Internal audits conducted	9	12	10	14	15

ARIZONA MASTER LIST OF STATE GOVERNMENT PROGRAMS

SUBPROGRAM SUMMARY
GENERAL OVERSIGHT

Contact: Pat Haeuser, Director
Planning & Institutional Research Phone: (928) 523-7777
NAA.7.3 A.R.S. 15-1601

Mission:

To provide effective executive leadership, planning, management, and direction for NAU operations and endeavors.

Description:

General oversight is accomplished through share governance, articulation and communication of university goals, a representative committee structure, communication processes within and outside the institution, exercise of financial responsibility, coordination with Arizona Board of Regents and State of Arizona leaders, and promotion of positive community relationships and partnerships through Arizona.

Funding and FTE Summary:

	(Thousands)		
	FY 2002	FY 2003	FY 2004
	Actual	Estimate	Estimate
General	2,553,600.0	2,638,000.0	2,842,900.0
Other Appropriated	0.0	0.0	0.0
Other Non Appropriated	70,100.0	78,000.0	80,200.0
Federal	0.0	0.0	0.0
Program total.....	2,623,700.0	2,716,000.0	2,923,100.0
FTE	43.8	44.6	46.5

Goal 1 To demonstrate responsible management of finances and resources.

Performance Measures:

Pub	JLBC	Type	FY2001 Actual	FY2002 Expected	FY 2002 Actual	FY2003 Expected	FY 2004 Expected
		EF Institutional Operations as a percent of State Operating Budget for NAU	25.91	27.74	23.72	24.19	24.30
		EF General Oversight as a percent of State Operating Budget for NAU	1.83	1.89	.93	.92	.94
		EF Administration as a percent of total cost.	1.7	1.7	2.14	2.0	1.86
		QL Percent of agency staff turnover.	21.7	21.7	15.75	16	16

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